JC10 Rec'd PCPTO 24 JUN 2005

PTO-1390 (Rev. 02-2005)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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T	RANSMITTAL LETTER TO	O THE UNITED STATES	ATTORNEY'S DOCKET NUMBER					
	DESIGNATED/ELECTED	l l	17155/003001 U.S. APPLICATION NO. (If known, see 37 CFR 1.5)					
CO		ON UNDER 35 U.S.C. 371	10/505,342					
INTERN	IATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED					
TITLE C	E OF INVENTION METHOD FOR PRODUCING ELECTRICAL DEVICE							
	PPLICANT(S) FOR DO/EO/US Takayuki Matsushima et al.							
Applicar	nt herewith submits to the United Sta	ites Designated/Elected Office (DO/EO/L	JS) the following items and other information:					
1.	This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.							
2. x	This is a <b>SECOND</b> or <b>SUBSEQ</b>	UENT submission of items concerning	a submission under 35 U.S.C. 371.					
3.	This is an express request to be include items (5), (6), (9) and (2		35 U.S.C. 371 (f)). The submission must					
4.	The US has been elected (Articl	e 31).						
5.	A copy of the International Appli	cation as filed (35 U.S.C. 371 (c)(2))						
a.	is attached hereto (required	only if not communicated by the Intern	ational Bureau).					
b.	has been communicated by	the International Bureau.						
C.	is not required, as the applic	ation was filed in the United States Re	ceiving Office (RO/US).					
6.	An English language translation	of the International Application as filed	i (35 U.S.C. 371 (c)(2)).					
   a.	is attached hereto.							
b.	<del></del>	ed under 35 U.S.C. 154(d)(4).						
7.	<del></del>	e International Application under PCT	Article 19 (35 U.S.C. 371 (c)(3))					
a.	<del>_</del>	d only if not communicated by the Inter						
b.	<b>=</b>		,					
C.	<del>                                      </del>	ver, the time limit for making such ame	ndments has NOT expired.					
d.								
8.	An English language translation	of the amendments to the claims under	PCT Article 19 (35 U.S.C. 371 (c)(3)).					
9. x	An oath or declaration of the inv	entor(s) (35 U.S.C. 371 (c)(4)).						
10.	An English language translation Article 36 (35 U.S.C. 371 (c)(5))		eliminary Examination Report under PCT					
Items 1	11 to 20 below concern docum	nent(s) or information included:						
11.	An Information Disclosure State	ment under 37 CFR 1.97 and 1.98.						
12.	An assignment document for reco	ording. A separate cover sheet in complia	ance with 37 CFR 3.28 and 3.31 is included.					
13.	A preliminary amendment.							
14.	An Application Data Sheet unde	r 37 CFR 1.76.						
15.	A substitute specification.							
16.	A power of attorney and/or char	ge of address letter.						
17.	A computer-readable form of the	sequence listing in accordance with PC	CT Rule 13ter.2 and 37 CFR 1.821 - 1.825.					
18.	A second copy of the published	International Application under 35 U.S	.C. 154(d)(4).					
19.	A second copy of the English la	nguage translation of the international	application under 35 U.S.C. 154(d)(4).					
20. x		opy of the International Preliminary Examina fication of Missing Requirements Under 35 U						



PTO-1390 (Rev. 02-2005)
Approved for use through 03/31/2007. OMB 0651-0021
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	U.S. APPLICATION N	NO. (if known, see 37 CFF	R 1.5)	INTERNATIONAL API	PLICATION N	О.	ATTORNEYS DOO		
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	21. Basic	national fee				\$300	\$		
	22. Exam	nination fee							
				ared by USPTO and					
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	23. Searc	ch fee	-						
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	Applicant c	claims small entity sta	atus. See 37	CFR 1.27. Fees abo	ve are redu	iced by ½.	\$		
						TOTAL =	\$ 1	30.00	
		of \$130.00 for furnish claimed priority date		h translation later tha 92 (f)).	n 30 month	ns +	\$		
				TOTAL	NATION	AL FEE =	\$ 1	30.00	
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Approved for use through 7/31/2006. OMB 0651-0032
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Under the Paperwork Reduc			o respond to a concess		lete if Kno		ond or namber.
Effectiv Fees pursuant to the Consolidat	e on 12/08/200 ted Appropriat		Application Nu		0/505,342-C		
FEE TRA	MZM	ΙΤΤΔΙ	Filing Date		ugust 20, 20	004	
			First Named In		akayuki Mat		
<u> FOr</u>	FY 200	15	Examiner Name Not Yet Assigned				
Applicant claims small entity status. See 37 CFR 1.27			Art Unit N/A				
TOTAL AMOUNT OF PAY	MENT	(\$) 130.00	Attorney Docket	l No. 1	7155/00300	1	
METHOD OF PAYMENT (check all that apply)							
Check x Credit Card Money Order None Other (please identify):							
x Deposit Account Deposit Account Number: 50-0591 Deposit Account Name: Osha · Liang LLP							
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)							
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FEE CALCULATION							
1. BASIC FILING, SEARCH	•		EARCH FEES	FXAMINA	ATION FEES	3	
		Small Entity	Small Entity		<b>Small Entity</b>		
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Utility	300	150 500		200	100		
Design	200	100 100		130	65		
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2. EXCESS CLAIM FEES	200	100	, ,	V	U		mall Entity
Fee Description						Fee (\$)	Fee (\$)
Each claim over 20 (includ	ing Reissue	s)				50	25
Each independent claim ov	er 3 (includi	ing Reissues)				200	100
Multiple dependent claims						360	180
Total Claims Extra	Claims	Fee (\$) Fee	Paid (\$)		tiple Depend		1
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3. APPLICATION SIZE FEL							
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4. OTHER FEE(S)						Fees P	aid (\$)
Non-English Specificati	on, \$130 f	ee (no small entity dis	scount)				
Other (e.g., late filing su	ırcharge): 1	617 National Stage	oath or declarat	tion after th	irty	130	0.00
SUBMITTED BY	C =	7 45	97.3				
Signature #6 #	<u> </u>	· E	Registration No. (Attorney/Agent)	33,986	Telephone	(713) 228	-8600
Name (Print/Type) Johathan	P. Osha				Date	June 24,	2005
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I hereby certify that this corn in an envelope addressed to							
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Dated: June 24, 2005		- WILLA MOUV	11/ 12/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1	/A # - E - II -	. Unidan)		- 11
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Application No. (if known): 10/505,342

Attorney Docket No.: 17155/003001

### Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV703276468US in an envelope addressed to:

MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on	24 June 2005			
		Date		

Signature

Michelle Hayden

Typed or printed name of person signing Certificate

(713) 228-8600

Registration Number, if applicable

Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Fee Transmittal (1 page)

A Copy of the International Preliminary Examination Report (English

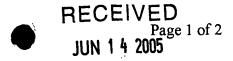
Translation) (8 pages)

Oath or declaration of the inventor(s) (3 pages)

Transmittal Letter to the United States Designated-Elected Office

Payment by credit card. Form PTO-2038 is attached

Charge \$130.00 to credit card





#### United States Patent and Trademark Office

OSHA · LIANG LLP

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. DOX 1450 Alexandria, Vingina 22313-1450

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U.S. APPLICATION NUMBE	R NO.	FIRST NAMED APPLICANT	AT	TY. DOCKET NO.
10/505,342		Takayuki Matsushima	17155/003001	
			INTERNATIONAL A	PPLICATION NO.
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22511	Action:	Sunataris (no)	I.A. FILING DATE	PRIORITY DATE
OSHA LIANG L.L.P. 1221 MCKINNEY STREET	Base Date:	6/9/25	02/14/2003	02/21/2002
SUITE 2800 HOUSTON, TX 77010	Due Date: Deadline: Initials: Atty:/Sec. Initial	874 55 149 65 **	CONFIRI 371 FORMALITIES *OC000000016223701*	

Date Mailed: 06/09/2005

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 08/20/2004
- English Translation of the IA filed on 08/20/2004
- Copy of the International Search Report filed on 08/20/2004
- Copy of IPE Report filed on 08/20/2004
- Information Disclosure Statements filed on 08/20/2004
- Oath or Declaration filed on 08/20/2004
- Request for Immediate Examination filed on 08/20/2004
- U.S. Basic National Fees filed on 08/20/2004
- Priority Documents filed on 08/20/2004

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Due Date:	6	Zle	05
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Atty./Sec. Initials: _			

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does
   not-comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/505,342	РСТ/ЈР03/01591	17155/003001

FORM PCT/DO/EO/905 (371 Formalities Notice)

# PATENT COOPERATION TREATY

# **PCT**



# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

Instation interna	PCT	TO ALDERONT
INTERNA	ΓΙΟΝΑL PRELIMINARY EXAMIN	ATION REPORT
	(PCT Article 36 and Rule 70)	
Applicant's or agent's file reference SC03PCT2	FOR FURTHER ACTION SceNotifica	tionofTransmittalofInternational Preliminant Report (Form PCT/IPEA/416)
International application No. PCT/JP03/01591	International filing date (day/month/year) 14 February 2003 (14.02.03)	Priority date (day/month/year) 21 February 2002 (21.02.02)
International Patent Classification (IPC) C09J 5/00, H05K 3/36, C09J	or national classification and IPC 201/00, 163/00	
Applicant	SONY CHEMICALS CORPORATION	NO
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Form PCT/IPEA/409 (cover sheet) (July 1998)

Best Available Copy

International application No.

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1. With	regard to		
	the inter-	the elements of the international application:*	
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International application No.
PCT/JP03/01591

	1 01/31 05/01351
IV. Lack of unity of invention	
1. In response to the invitation to restrict or pay additional fees the applicant has:	
restricted the claims.	
paid additional fees.	•
paid additional fees under protest.	
neither restricted nor paid additional fees.	·.
2. This Authority found that the requirement of unity of invention is not complied not to invite the applicant to restrict or pay additional fees.	with and chose, according to Rule 68.1,
3. This Authority considers that the requirement of unity of invention in accordance with	
complied with.	
not complied with for the following reasons:	
See supplemental sheet	
•	
Consequently, the following parts of the international application were the subject of interior in establishing this report:	ernational preliminary examination
all parts.	
the parts relating to claims Nos.	
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International application No. PCT/JP 03/01591

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: IV. 3.

The feature common to claims 1, 2 and 4-9 is "a method for manufacturing an electrical device, in which two objects are bonded to each other which are a first object having a first electrode and a second object which has a second electrode which has to be connected with the aforementioned first electrode and fabricating an electric device comprising an aforementioned first connecting object and second connecting object, wherein the method for fabricating an electrical device comprises: a step of forming an adhesive layer by placing an adhesive having a first hardener in which the main constituents are a thermosetting resin and a silane coupling agent on at least the aforementioned first electrode; a step of forming a second hardener layer by placing a hardener in which the main constituent is eother a metal chelate or metal alcoholate or both which reacts with the aforementioned first hardener on heating and causes the polymerization of the aforementioned thermostetting resin on at least the second electrode; a step of aligning the positions of the aforementioned first electrode and aforementioned second electrode; a step of bringing the aforementioned adhesive on the aforementioned first object into contact with the aforementioned second hardener on the aforementioned second connecting object; a step of pressing the aforementioned first and second objects and connecting the first and second electrodes as well as polymerizing the aforementioned thermosetting resin by means of heat", set forth in claim 1. However, the results of the international search indicate that the aforementioned common feature could be derived easily by combining

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Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: IV. 3.

disclosures in the documents listed in box V in a manner obvious to a person skilled in the art and, therefore, does not involve an inventive step and cannot be identified as a special technical feature in the sense of PCT Rule 13.2.

Since there is no other common feature which can serve as a special technical feature, Claims 1, 2 and 4-9 do not constitute a group of inventions so linked as to form a single general inventive concept.

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NO

v.	Reasoned statement under Article 3 citations and explanations supporti	35(2) with regard to nove ng such statement	elty, inventive step or industrial applical	oility;
1.	Statement			·
	Novelty (N)	Claims	1, 2, 4-9	YES
		Claims		NO NO
	Inventive step (IS)	Claims		YES
		Claims -	1, 2, 4-9	NO
	Industrial applicability (IA)	Claims	1, 2, 4-9	YES

### 2. Citations and explanations

Document 1: JP 7-82533 A (Hitachi Chemical Co., Ltd.), 28

March 1995; claims and paragraphs [0013] and

[0016]-[0030] (Family: none)

Claims

Document 2: JP 7-26235 A (Toshiba Chemical Co., Ltd.), 27

January 1995; claims (Family: none)

Document 3: JP 2001-303013 A (Ube Industries, Ltd.), 31

October 2001; claims and paragraphs

[0018]-[0027] (Family: none)

Document 4: JP 2000-230091 A (Kanegafuchi Chemical

Industry Co., Ltd.), 22 August 2000; claims

and paragraphs [0025]-[0027] (Family: none)

Document 1 above, cited in the international search report, discloses a bonding method wherein a bonding layer comprising an epoxy-group-containing silane coupling agent and a cyclic epoxy resin is formed on one bonding surface and a bonding layer of a hardening adhesive composition containing a cationic thermopolymerization starter is formed on the other bonding surface, and both bonding surfaces are placed face to face heat and pressure are applied; it also discloses the feature of mixing electrically conductive particles into an aforementioned adhesive when carrying out electrically conductive bonding, and using such an electrically conductive

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adhesive to bond electrodes together.

In addition, documents 2, 3 and 4 above, cited in the international search report, each disclose concurrent use of a silane compound having hydrolysable groups and an aluminium chelate compound or alcoholate in an epoxy type electrically conductive adhesive composition.

Therefore, given the disclosures in documents 2-4, a person skilled in the art could easily conceive of using a hardener system comprising a combination of a silane compound and an aluminium chelate compound or alcoholate instead of a hardener system comprising a silane compound and another hardener in the invention disclosed in document 1; and there is no evident technical contribution from such a substitution. Therefore, the inventions set forth in claims 1, 2 and 4-9 do not involve an inventive step.

## From the INTERNATIONAL BUREAU

## PCT

NOTIFICATION OF TRANSMITTAL
OF COPIES OF TRANSLATION
OF THE INTERNATIONAL PRELIMINARY
EXAMINATION REPORT

(PCT Rule 72.2)

To:

KOIKE, Akira 11 Floor, Yamato Seimei Bldg. 1-7, Uchisaiwai-cho 1-chome Chiyoda-ku, Tokyo 100-0011 JAPON

Date of mailing (day/month/year) 16 September 2004 (16.09.2004)	
Applicant's or agent's file reference SC03PCT2	IMPORTANT NOTIFICATION
International application No. PCT/JP2003/001591	International filing date (day/month/year) 14 February 2003 (14.02.2003)
Applicant SONY CH	IEMICALS CORPORATION et al

#### 1. Transmittal of the translation to the applicant.

The International Bureau transmits herewith a copy of the English translation made by the International Bureau of the international preliminary examination report established by the International Preliminary Examining Authority.

### 2. Transmittal of the copy of the translation to the elected Offices.

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following elected Offices requiring such translation:

CN, KR

The following elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

US

## 3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report.

It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.

# **Best Available Copy**

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Masashi Honda

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